



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

NI et al.

Appl. No. 09/042,583

Filed:

March 17, 1998

For:

Death Domain Containing

Receptor 5

Confirmation No. 5224

Art Unit:

Examiner:

Kaufman, Cla

Atty. Docket: 1488.1310002/EKS/EJH/SJE

Sixth Supplemental Information Disclosure Statement

TECH CENTER 1600/2900

Commissioner of Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Sixth Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Fifth Supplemental Information Disclosure Statement filed on December 11, 2002, in connection with the above-captioned application. A copy of each document is also provided.

Applicants wish to direct the Examiner's attention to the Statutory Declarations and accompanying exhibits as follows:

Statutory Declaration of Avi Ashkenazi, signed March 14, 2003, with Exhibits AJA1 to AJA3, AJA5, and AJA7 to AJA10.

Exhibit AJA-4 was submitted previously as AL2 in Applicant's Fifth Supplemental Information Disclosure Statement, filed on December 11, 2002. Exhibit AJA-6 was submitted previously in Applicants First Information Disclosure Statement, filed on

06/02/2003 SSESHE1 00000080 09042583

01 FC:1806

180.00 GP

September 22, 1998. The above-listed documents were brought to the attention of the Applicants in connection with an opposition to an Australian application that essentially corresponds to the U.S. priority application in this case. A copy of the above-listed documents, exclusive of documents cited in previous Information Disclosure Statements, are enclosed and are listed on the accompanying form PTO-1449.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Attached is our PTO-2038 Credit Card Payment Form in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p); in addition:

I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

Consideration of the cited documents and making the same of record in the prosecution of the above-identified application is respectfully requested. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Elizabeth J. Haanes, Ph.D.

Attorney for Applicants Registration No. 42,613

Date: 1//ay 30, 2003

1100 New York Avenue, N.W. Washington, D.C. 20005-3934

(202) 371-2600

::ODMA\MHODMA\SKGF_DC1;138141;1 SKGF Rev 1/24/02 mac; 4/18/03 svb

ATTY. DOCKET NO. APPLICATION NO. 1488.1310002/EKS/EJH/SJE 09/042,583 FORM PTO-1449 **APPLICANT** NI et al. SIXTH SUPPLEMENTAL IATION DISCLOSURE STATEMENT FILING DATE **GROUP** March 17, 1998
U.S. PATENT DOCUMENTS 1646 **EXAMINER** INITIAL **DOCUMENT** DATE NAME **CLASS** SUB-CLASS FILING DATE NUMBER AΑ ΑB AC RECEIVED ΑD ΑE ΑF JUN 0 5 2003 AG ΑН TECH CENTER 1600/2900 ΑI ΑJ ΑK **FOREIGN PATENT DOCUMENTS EXAMINER** INITIAL **DOCUMENT** DATE COUNTRY CLASS SUB-CLASS **TRANSLATION** NUMBER Yes AL No Yes AM No Yes ΑN No Yes AO No Yes ΑP No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Brojatsch, J., et al., "CAR1, a TNFR-Related Protein, Is a Cellular Receptor for Cytopathic Avian Leukosis-Sarcoma Viruses and AR <u>6</u> Mediates Apoptosis," Cell 87:845-855, Cell Press (November 1996) Chapman, B.S., "A region of the 75 kDa neurotrophin receptor homologous to the death domains of TNFR-I and Fas," FEBS Lett. AS 6 374:216-220, Elsevier (1995) Rabizadeh, S., et al., "Induction of Apoptosis by the Low-Affinity NGF Receptor," Science 261:345-348, American Association for the ΑT <u>6</u> Advancement of Science (1993) **EXAMINER** DATE CONSIDERED EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

EXAMINER INITIAL	SIX	KTH SUP	PTO-1449 PPLEMENTAL CLOSURE STATI			IH/SJE	APPLICA 09/042.58	TION NO.	Page 2 of 3		
EXAMINER INITIAL	SI) RMATI	KTH SUP	PLEMENTAL			IH/SJE	09/042.58	33			
EXAMINER INITIAL	SI) RMATI	KTH SUP	PLEMENTAL		I APPLICANT	1488.1310002/EKS/EJH/SJE			09/042,583		
EXAMINER INITIAL	RMATI	ON DISC	PLEMENTAL LOSURE STATI		NI et al.	Page 2 of ATTY. DOCKET NO. 1488.1310002/EKS/EJH/SJE APPLICANT NI et al. FILING DATE March 17, 1998 S. PATENT DOCUMENTS NAME Page 2 of APPLICATION NO. 09/042,583 APPLICANT APPLICANT NO. 09/042,583 APPLICANT					
EXAMINER INITIAL	•			FMENT	FILING DATE	FILING DATE GROUP			D VIII		
INITIAL					March 17, 1998		1646 7 2003				
INITIAL				Į į	J.S. PATENT DOCUMENTS			17	<u></u>		
		DOCU! NUMB!		DATE	NAME	CL	ASS	SUB-CLASS	FILING DATE		
A/	4								*		
AE	3							RH	CEIVEL		
AC	5					***			LIVEI		
A								JUNI			
AE		<u> </u>					70		0 5 2003		
AF								CH CENT			
AC								- JE/V/4/	R 1600/00		
AH		 							R 1600/2900		
AI				:							
· AK											
AN	`										
EXAMINER		T		- FUR	REIGN PATENT DOCUMENTS	<u> </u>			т		
INITIAL		DOCU NUME	UMENT BER	DATE	COUNTRY	С	CLASS	SUB-CLASS	TRANSLATION		
A	AL								Yes No		
	AM	—		<u> </u>					Yes No		
A	AN	 							Yes No		
A	10	<u> </u>		<u> </u>					Yes No		
	NΡ								Yes		
· · · · · · · · · · · · · · · · · · ·	<u>"</u>			OTHER (Including	Author, Title, Date, Pertinent	t Bassa etc.)		<u> </u>	No		
- AF	R	<u>,</u>			main within the 55 kd TNF Rece		n," <i>Cell 74</i> :8	45-853, Cell Pre	ss (1993)		
AS	6	Statutory Declaration of Avi J. Ashkenazi, signed March 14, 2003									
AT		7 1	Curriculum vitae	e of Avi Ashkenazi							
EXAMINER						Di	ATE CONSI	DERED			
EXAMINER: Initial if refu	erence	consider	and whother or n	at aitation in in and	formance with MPEP 609. Draw						

									Pogo 2 of 1		
Check					ATTY. DOCKET NO. APPLICATION NO.				Paye 3 OF 3		
/	ี กากกร	FORM	PTO-1449		1488.1310002/EKS/EJH/SJE 09/0			042,583			
MAT 3	0 2003	44			Page 3 ATTY. DOCKET NO. 1488.1310002/EKS/EJH/SJE APPLICANT NI et al. FILING DATE March 17, 1998 S. PATENT DOCUMENTS						
TE .	INFORM	ON DISC	CLOSURE STATE	MENT	FILING DATE	FILING DATE		GROUP TO 32002			
L TA	ADEN		PPLEMENTAL CLOSURE STATE		March 17, 1998		1646				
EXAMINER	1	T		U.S.	PATENT DOCUMENTS	-			.00		
INITIAL		DOCU NUMB		DATE	NAME	CL	ASS	SUB-CLASS	FILING DATE		
	AA	<u> </u>									
	AB							REC	IVED		
	AC AD	+			<u> </u>				:/VFD		
	AE	1					·	20/V V 1			
	AF	 				-	~~~	- 0 3	₹003		
	AG						/7	CENTED			
	AH							CENTER 16	00/2900		
	Al	 									
•	AJ										
	AK			FODE	AN DATENT BOOKING						
EXAMINER		<u> </u>		FOREIG	GN PATENT DOCUMENTS			T	T		
INITIAL		DOC NUM	UMENT IBER	DATE	COUNTRY	C	CLASS	SUB-CLASS	TRANSLATION		
	AL								Yes No		
	AM		μ						Yes No		
	AN								Yes No		
	AO								Yes No		
	AP								Yes No		
				THER (Including A	uthor, Title, Date, Pertinent	Pages, etc.)			•		
•	AR 8 Copy of provisional U.S. application 60/040,846, inventor Ni et al., filed March 17, 1997										
	AS	<u>8</u> /	Copy of provisional U.S. application 60/054,021, inventor Ni et al., filed July 29, 1997								
	АТ	<u>8</u> /	Copy of U.S. application 08/857,216, inventor Ashkenazi, filed May 15, 1997								
EXAMINER		<u>. </u>				D	ATE CONS	IDERED			
EXAMINER: Initial Include copy of this	al if reference	e conside	red, whether or no	t citation is in conform	nance with MPEP 609. Draw	line through citation it	f not in confe	ormance and not	considered.		